LexisNexis Risk Solutions

G-Cloud 15 Framework

LexisNexis® Risk Management Solutions Pricing Document

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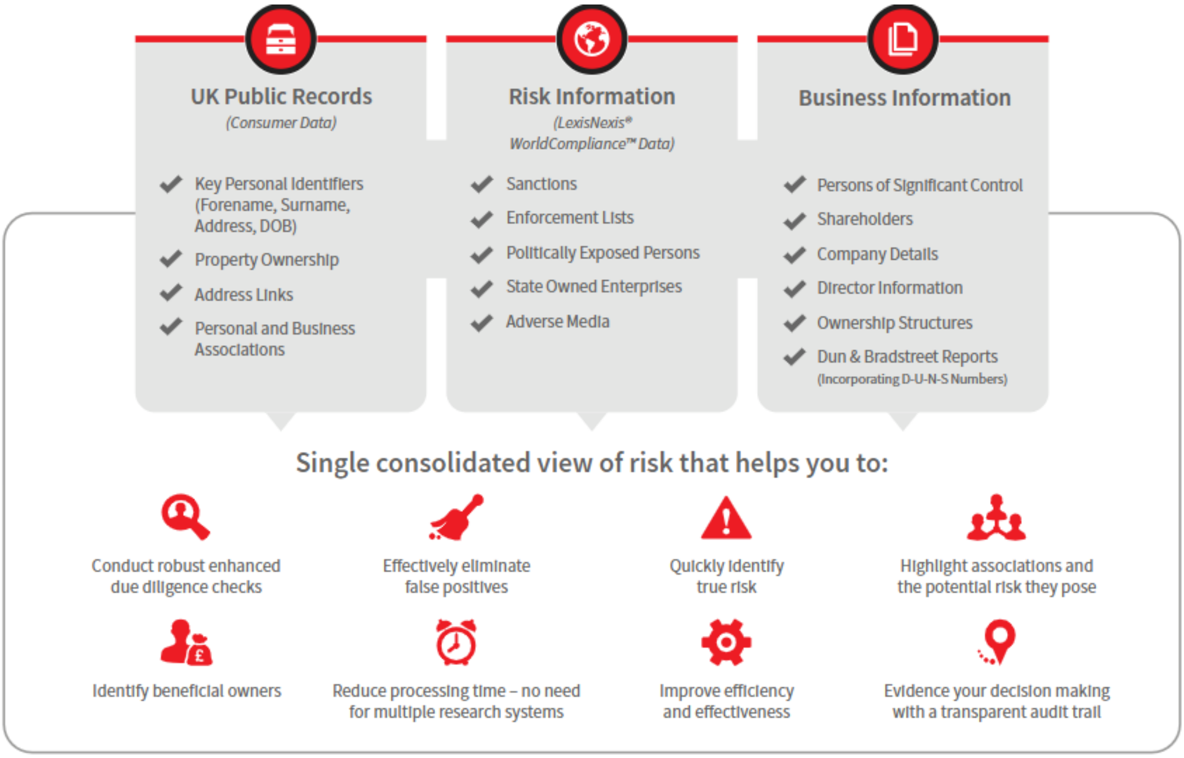
Table of Contents

LexisNexis ® Risk Management Solutions

LexisNexis® Risk Management Solutions (RMS) facilitates enhanced due diligence controls to better combat financial crime and mitigate risk. Whether it is conducting increased due diligence on a new high-risk customer, correcting a sanctions alert generated by ongoing filtering processes, or compiling suspicious activity reports, you can use RMS throughout the customer lifecycle.

Regulation requires that enhanced due diligence checks are carried out in specific situations. This includes scenarios such as when a customer or business entity is based in a high-risk jurisdiction, or a business has a complex structure involving shell companies. Typically used in both anti-money laundering and anti-bribery and corruption programmes, RMS also supports acquisition and investment activities and the onboarding of higher risk third parties in general.



LNRS offers a more holistic approach to enhance your due diligence and "know your business" (KYC/KYB) practices. We aggregate critical sources of consumer, business, and risk data into a single platform. By processing this data through sophisticated linking technology, LNRS delivers a consolidated insight into potential risks. As a result, you can better identify and understand:

- Company Directors
- Beneficial Owners
- Ownership Structures
- Personal & Business Associations
- Politically Exposed Persons (PEP)
- Adverse Media Profiles
- Sanctions & Enforcements Hits
- Key Personal Identifiers (UK only)
- Property Ownership
- Address Links

Our extensive collection of key personal identifiers (first names, last names, dates of birth and addresses), containing UK public records and consumer data, helps to confidently rule out false positives and confirm genuine matches. The result is accelerated processing time, an improved customer experience, and reliable identification of potential risks.

Designed with MLD4 and 5 in mind, the inclusion of corporate structures and ultimate beneficial ownership data helps to achieve the objectives set out in current legislation. These, along with a comprehensive audit history, provide confidence in managing a customer's historical journey to remediation and implementing a risk-based approach to customer onboarding and ongoing management.

The main data sets are:

- UK Public Archives/Consumer Data
- Risk information (WorldCompliance data)
- Commercial information

RMS contains 3 property data sources:

- ***Information about related shareholders*** - the user can track the ownership of shares from the parent company to the parent company by clicking on links to display the next level in the hierarchy. The user does not submit a new search, they can use the ready-made links.
- ***Corporate group structures*** – the user can view a "tree" view, placing the target company in a hierarchical tree of holding companies and subsidiaries.
- ***Person of Significant Control (PSC) data*** – as derived from Companies House and reported by the company itself, the system displays the reported PSC for a company.

WorldCompliance through RMS has comprehensive global coverage updated on a daily basis and the WorldCompliance approach to data quality blends both automated systems (technology) and skilled human oversight for optimal results. The data quality management system evaluates submitted records against a number of data quality business rules to ensure accuracy.

RMS records all search activities indefinitely. A single reference creates a transparent audit trail in a centralized location. A list of all reports can be found under ‘Search Reports’, any unsuccessful searches will be recorded with a no results report.

Optional Add Ons

D&B Worldbase

An optional feature of RMS is the addition of Dun & Bradstreet Worldbase data (business search):

- 137m records on businesses in 122 countries
- Business reports from all countries are available instantly
- Tens of thousands trade, registry and other sources from 38m websites covering organisations large and small, public and private
- ~80% of companies in Worldbase globally have <11 employees

This richer coverage of data enables a more comprehensive offering of business content in RMS.

Instant Business Reports

RMS Instant Business Reports deliver the information available within RMS including data available from the comprehensive WorldCompliance database for Sanctions, PEPs and Adverse Media in a simple due diligence report format. RMS Instant Business Reports are available in real-time (on-line) requested through the platform for the following countries:

- Bahrain
- Belgium
- Benin
- Burkina Faso
- Colombia
- Democratic Republic of Congo
- Denmark
- Ecuador
- Egypt
- France
- Germany
- Ireland
- Jordan
- Lebanon
- Luxembourg
- Netherlands
- Norway
- Oman
- Palestine
- Qatar
- Russia
- Saudi Arabia
- Sudan
- Kuwait
- Sweden
- Syria
- Turkey
- UAE
- UK
- Venezuela
- Western Sahara

For any countries not listed above, off-line International Business reports are available taking between 2-10 working days. RMS provides instant reports for listed countries and transactional reports for the others, which is a separate report to the reports coming from WorldCompliance embedded into RMS.

Trial and Demonstration Options

The Risk Management Solutions service can be demonstrated either face-to-face or via a webinar based on requirements.

In addition, we can offer a short-term trial for up to 30 days for a defined number of searches. The free trial will end when the trial period or number of transactions is reached. This will give you the opportunity to test the features of the system and record the results to be shared back with LNRS to assist you in the evaluation of the solution. For data protection / confidentiality purposes, you would be required to accept our standard terms and conditions (https://risk.lexisnexis.co.uk/-/media/files/terms/uk-masterterms) for the duration of the trial.

Support

Customer Support provides you with a team of technical experts who are familiar with your organisation, processes, and implementation. This team offers timesaving guidance during issue resolution, upgrade planning, and enhancements. This guidance lets you focus on compliance.

Customer Support is available by phone or email to assist your authorised customer contacts in frontline escalations and additional product training sessions.

Pricing Options

License Options

Pricing is based on the number of licenses and includes unlimited access to all content except Property Registry Searches and optional add-ons such as: International Online Country Business Reports, International Offline Country Business Reports, D&B Worldbase Reports & D&B UBO Reports.

Number of users	Price per annum
Minimum Contract 3	£12,000
Users 3- 10	£3,900 / User
Users 11-25	£3,750 / User
Users 26-50	£3,500 / User
Users 51 - 100	£3,200 / User
Each additional user over 100	£3,000 / User

RMS searches against Property Registry (when these are over 2,000) and non-UK Companies' Ownership Registers/ International Business Reports are subject to additional costs.
*please see list of countries above for on-line vs off-line availability.

Please note that there are bespoke product terms applicable to the solution in addition to the Call-off form.

What isn't included in the price: Any enhancements to the product during the term of the contract.