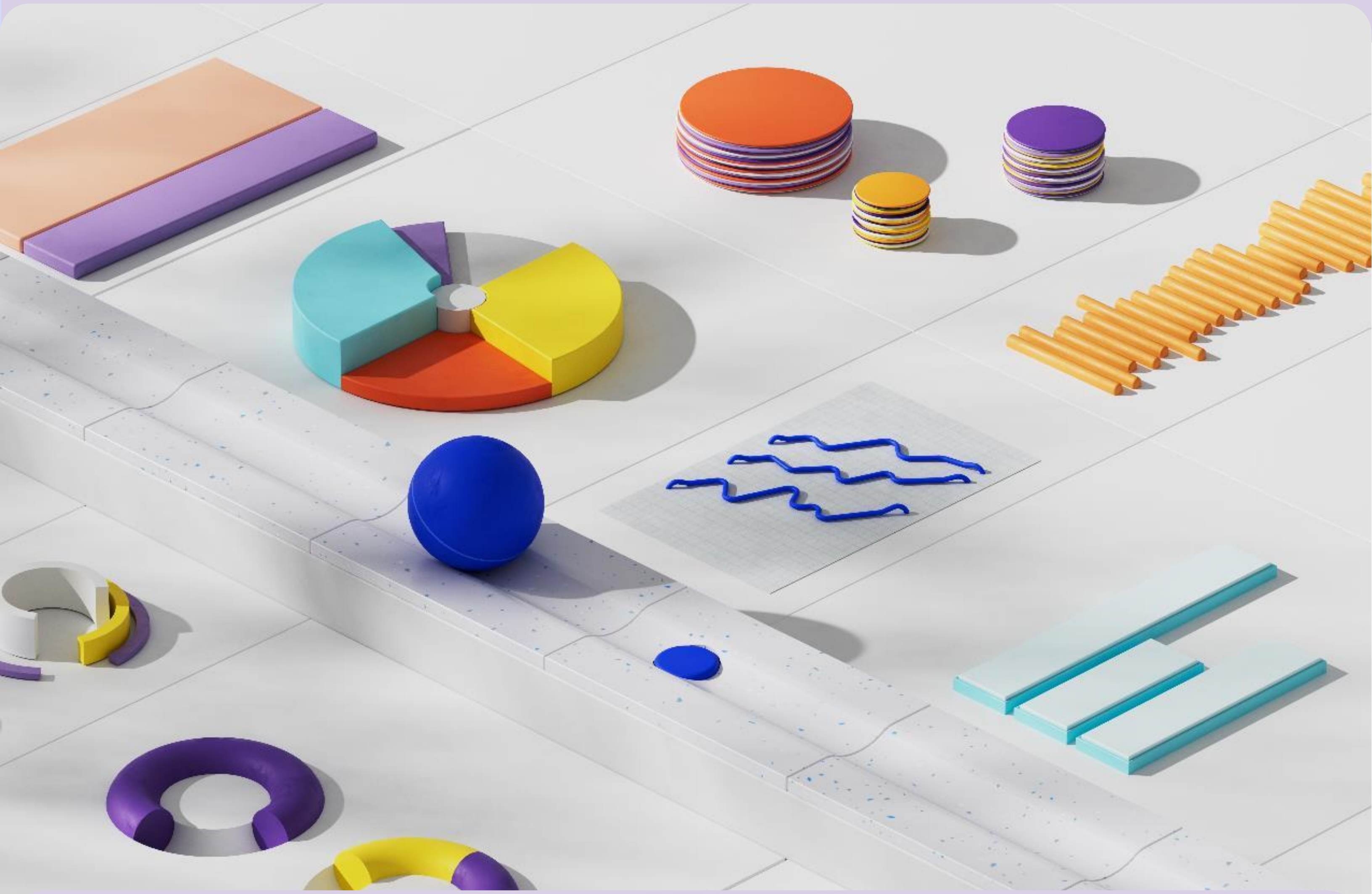

PROTECHT LTD

PROTECHT.ERM ENTERPRISE RISK MANAGEMENT SERVICE DESCRIPTION FOR G-CLOUD 15

Prepared for
G-Cloud 15
Date
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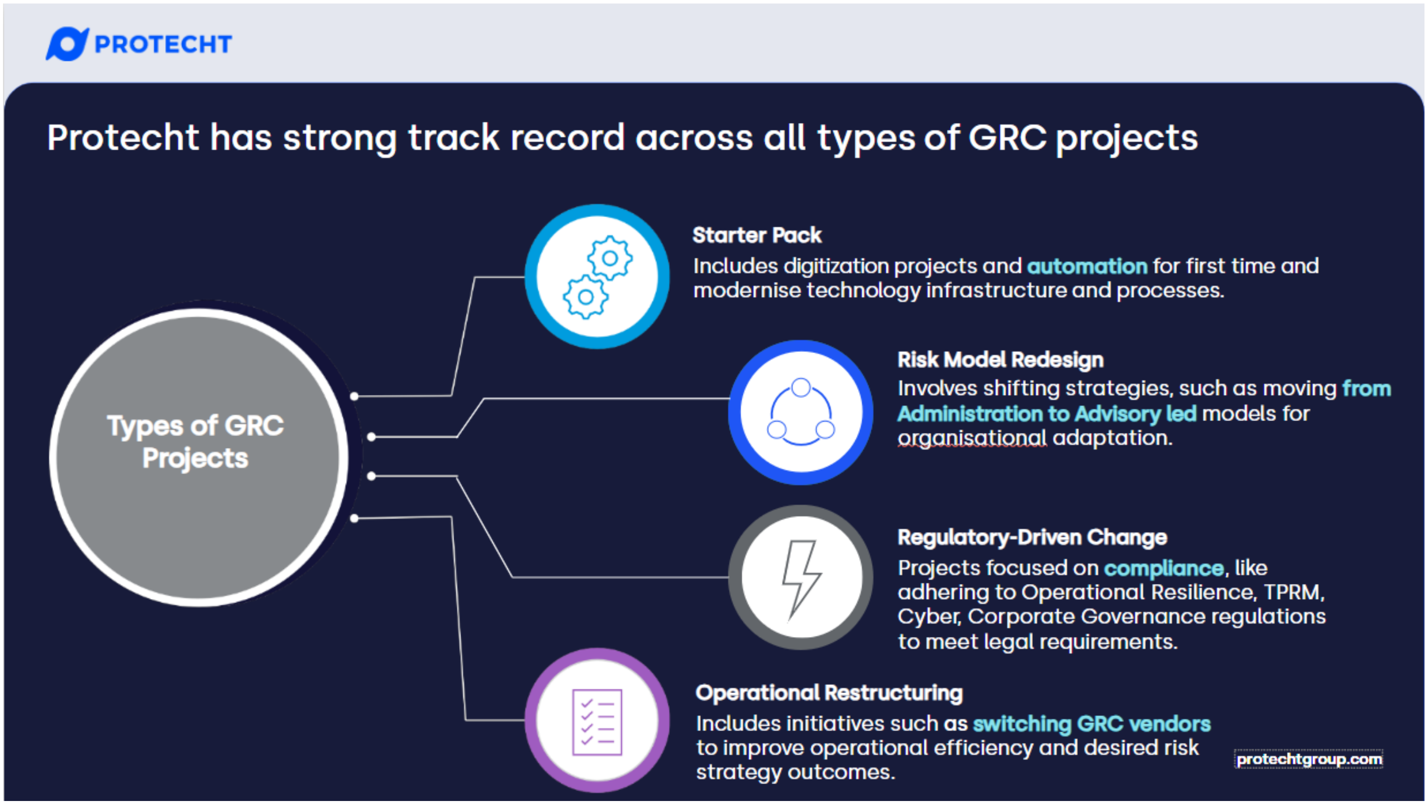
About Protecht



Protecht was founded in 1999 and has been supporting the public sector in strengthening governance, risk management, and compliance for over two decades. Established by experienced risk practitioners, Protecht's leadership team brings deep, practical expertise from working within large and complex organisations, ensuring a strong understanding of the challenges faced by government bodies and public sector entities.

More than 500 organisations use Protecht's enterprise risk management solutions, including a significant number of government departments, agencies, and regulatory bodies, alongside organisations in regulated and critical service sectors such as financial services, insurance, manufacturing, and retail.

Protecht specialises in the delivery of end-to-end risk management solutions that support public sector objectives of transparency, accountability, resilience, and regulatory compliance. In addition to its GRC platform, Protecht provides:

Risk management advisory and consulting services, supporting the design, implementation, and continuous improvement of Enterprise Risk Management (ERM) frameworks aligned to public sector standards and best practice

Risk management training and capability development, tailored to public sector organisations, helping staff at all levels understand their risk management responsibilities and embed consistent, effective risk practices across the organisation

By combining proven technology with specialist public sector expertise, Protecht enables government organisations to improve decision-making, strengthen operational resilience, and meet their governance and regulatory obligations with confidence.

Protecht.ERM is a comprehensive, enterprise-grade Governance, Risk and Compliance (GRC) platform designed for government agencies and organisations of all sizes. Delivered as a SaaS, cloud-based solution hosted on AWS in the UK, Protecht.ERM is securely accessible via desktop, laptop, and mobile devices.

Platform & Deployment

Protecht.ERM is delivered as a secure, SaaS cloud-based platform hosted on AWS in the UK, providing assured data residency and compliance with public sector cloud expectations. The solution is accessible via desktop, laptop, and mobile devices, enabling flexible and remote working while maintaining strong security controls. Its scalable architecture allows organisations to expand usage easily across departments, agencies, and users as requirements evolve.

Integrated Governance, Risk and Compliance

Protecht.ERM provides a single, integrated platform for managing risks, controls, policies, compliance, KRIs, incidents and complaints, audits, actions, obligations, and data governance. By consolidating all governance and assurance activities into one system, it establishes a single source of truth, improves transparency and auditability, and reduces reliance on fragmented spreadsheets, emails, and standalone tools.

Enterprise & Operational Risk Management

The platform enables structured management of enterprise and operational risks through configurable risk registers, controls management, action tracking, and KRI monitoring. This supports proactive risk identification, clear ownership, and consistent assessment across the organisation, enabling management to prioritise resources effectively and make informed, risk-based decisions.

IT, Cyber & Information Security Risk

Protecht.ERM delivers robust IT and information security risk management, supporting ISO 27001:2022 and ISMS requirements, as well as cyber risk, threat management, and security controls. This capability strengthens governance over information and cyber security risks, improves visibility of control effectiveness, and supports compliance with recognised standards and public sector security expectations.

Third-Party & Vendor Risk Management

The solution supports end-to-end third-party and vendor risk management through centralised supplier registers, risk assessments, questionnaires, and ongoing monitoring. This enables organisations to conduct effective due diligence, maintain oversight throughout the supplier lifecycle, and reduce exposure to operational, security, and compliance risks arising from third-party relationships.

Operational Resilience & Business Continuity

Protecht.ERM supports operational resilience and Business Continuity Management, including safety and incident management, impact analysis, and scenario planning. This helps public sector organisations prepare for, respond to, and recover from disruptions, ensuring the continued delivery of critical services and alignment with regulatory and resilience expectations.

Audit, Compliance & Obligations Management

The platform provides integrated audit management, compliance monitoring, and obligations tracking, enabling organisations to plan audits, manage findings, and demonstrate compliance with regulatory and policy requirements. This strengthens assurance, improves visibility of compliance status, and supports timely remediation and reporting.

Analytics, Reporting & Dashboards

Protecht.ERM includes powerful analytics and reporting capabilities, offering both pre-built templates and user-defined dashboards that provide real-time insight at business unit and enterprise levels. Automated reporting reduces manual effort, improves data accuracy, and supports evidence-based decision-making by senior management and oversight bodies.

Forms, Questionnaires & Business User Enablement

Users can easily create and deploy web-based forms and questionnaires to desktop and mobile devices without any coding, enabling rapid data capture across the organisation. This business-managed capability reduces dependence on IT resources, improves engagement, and ensures timely, consistent collection of risk, compliance, and assurance information.

Integration & Extensibility

Protecht.ERM integrates seamlessly with existing systems and data sources through RESTful APIs, supporting a connected governance and risk ecosystem. Its configurable frameworks and extensible architecture protect existing technology investments while enabling organisations to adapt the platform to changing regulatory, operational, and organisational needs.

Solutions for every scenario

Enterprise risk management	Operational resilience and BCM	Compliance management	Vendor risk management
Information security risk management	Audit management	Controls management	ESG
Cognita AI	Consumer Duty	Corporate Governance Code	DORA

Flexible risk management.
Designed by risk experts.

Introduction to Protecht.ERM

Protecht.ERM is a full function enterprise risk management solution for organisations of all sizes and industry segments. Protecht.ERM is a cloud-based solution that is accessed by users through standard web browsers on desktop, laptop and mobile devices.

- **Central libraries** – tags, risk events, controls, risk causes and business units – this data interacts with all other modules allowing connectivity of risk across the various modules.
- **Cyber Risk Management** – supports identification, assessment and treatment of cyber and information security risks, aligned to ISO27001:2022, threat management and IT risk domains.
- **Third Party and Vendor Risk Management (TPRM / VRM)** – manage risks associated with suppliers, vendors and partners, including onboarding, due diligence, ongoing monitoring and reviews.
- **Business Continuity and Operational Resilience** – supports BCM planning, testing and operational resilience requirements, enabling organisations to identify important business services and tolerances.
- **Compliance and Obligations Management** – capture, manage and monitor regulatory obligations, policies and compliance requirements across the enterprise.
- **Policy and Framework Management** – manage governance frameworks, policies and standards with version control, ownership and review cycles.
- **Data Governance** – establish data ownership, quality controls and accountability across risk, compliance and operational data.
- **Questionnaires and Assessments** – configurable questionnaires for risk assessments, audits, vendor reviews and self-assessments.
- **System Integration** – integrates with other enterprise systems and data sources via secure RESTful APIs, enabling data exchange and automation.
- **SaaS Deployment** – cloud-based software hosted securely on AWS UK, providing scalability, availability and resilience.

- **Business-Managed Configuration –** no-code configuration enabling business users to design and manage processes without technical development.
- **Key Risk Indicators (KRIs)** and Key Performance Indicators (KPIs).
- **Incidents** and other registers – flexible form design to capture any type of information. The flexible Register technology available within Protecht.ERM empowers organisations to rapidly design, build and implement their own registers to capture information such as incidents, audit findings, issues, contracts and compliance obligations.
- **Audit** – create and execute any type of audit program.
- **Issues and Actions** – assign, supervise and monitor actions arising from the enterprise risk management framework.
- **Workflow** – flexible workflow engine to generate notifications and escalations.
- **Risk Analytics and reporting** – fully integrated business intelligence (BI) tool allowing high quality, highly visual and interactive dashboards and reports to be created by end users.
- **Applications** – enables users to build their own applications using the Protecht.ERM framework. The applications can consist of data, entry forms, workflow and reporting. Applications can access other areas of the product such as central libraries.
- **AI Assistant – COGNITA** - Cognita combines agentic, anthropomorphic Claude AI with Protecht's 25 years of GRC expertise. It acts as a trusted assistant that stops users from missing critical details and transforms everyday language into accurate risk and compliance documentation. The result: safer, smarter, and more proactive risk management.
    - Agentic, anthropomorphic Claude AI combined with Protecht's 25 years of GRC expertise.
    - Acts as a trusted assistant for risk and compliance teams.
    - Prevents users from missing critical details in incident logs, assessments, and reports.
    - Transforms plain, conversational language into precise, compliant GRC documentation.
    - Delivers safe, accurate, and proactive risk management at scale.

The Protecht.ERM software solution has been developed to provide maximum flexibility to the end users such that customers are able to design and build their own processes, forms, dashboards and reports without the need for developers.

Benefits of Protecht.ERM

- Benefits to adopters of the product include the following items:
- All facets of an effective risk management framework are integrated in the one platform: risk assessment, compliance, key risk indicators, incidents and actions are available in one solution.

- Digitalised memory of all incidents across the group that can be viewed or reported on in any format. Searching for a particular type of event is easily obtainable through the filter boxes and views.

- Develop one source of truth of all Governance, Risk, Compliance and Audit real-time information.

- Reduction in management remediation time from the current ineffective and inefficient processes and record keeping

- Enhanced access and security of governance, risk, compliance and audit information
- Removal of significant manual processes focusing on quality assurance, monitoring and advice

- Effective and efficient use of the limited and technical resources in the Risk and Control and Internal Audit teams.

- Real-time incident reporting and loss escalation process

- Workflow Issue management, tracking and reporting

- Reduction in the number of emails, network drives and duplicate data storage systems

- Push button reporting and real-time dashboard reporting by Business Units so that they can view and understand their respective Risk Profile. The Risk and Control and Internal Audit Teams will have an Enterprise-wide view of the Group Risk Profile.

- Product is scalable for locations, divisions and users.

- Promote both the decentralisation and centralisation of risk management information. Each business unit can track data in its own unique workflow, data parameters, calculations, metrics and libraries – promoting responsibility and independence for their business areas, while preserving the 'bigger picture' as Line 2 and Line 3 are able to aggregate the business unit information to provide an enterprise-wide view.

- Improve Business intelligence through flexible dashboard reporting. For example, Charts, tables and lists can appear in a recipient's Inbox automatically at scheduled times instead of having to use individual business unit Excel files for the 'roll up'.

- Improve efficiency through simple to use notification driven web interfaces. The solution streamlines the capture of compliance, KRI and incident data using simplified user interfaces to allow easier collection and management review of data, all driven through email workflow.

- Support major standards such as ISO31000, ISO27001, Orange Book Risk Framework, GDPR etc.

See the risks.
Seize the opportunities.

For over 20 years, Protecht has redefined the way people think about risk management. We help companies increase performance and achieve strategic objectives through better understanding of risk.

We are with you for your full risk journey. Let us. transform the way you understand and manage your risk to create exciting opportunities for growth.